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For : **MAGNETIC INK ENCODING PEN**

Enclosed are:

1. 11 sheets of specification, 4 sheets of claims, and 1 sheet of abstract, 7 sheet(s) of drawings.
2. Declaration and Power of Attorney signed by the Inventor
3. Assignment Document 4. Information Disclosure Statement

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## MAGNETIC INK ENCODING PEN

### FIELD OF THE INVENTION

The present invention provides an apparatus and method for information storage. More particularly, an information signal is stored in magnetic ink applied to a surface.

### BACKGROUND OF THE INVENTION

Systems are known that print characters in magnetic ink, and those magnetic ink characters can subsequently be recognized by magnetic ink character recognition ("MICR") systems. Magnetic ink is an ink that contains particles of a magnetic substance, the presence of which can be detected by a magnetic sensor (e.g., a magnetic read head). MICR characters are preprinted in magnetic ink along the bottom edge of a check to identify the bank routing number, account number, and check number of the check. After a first bank receives a check written for a specific amount (e.g., the check is deposited at the first bank), the amount of the check is also printed in magnetic ink characters along the bottom edge of a check during bank industry processing of the check.

To enable MICR systems to recognize magnetic ink characters, the magnetic ink characters are printed in standardized fonts, such as a font known as E13B used in processing checks in the United States. When a magnetic ink character is scanned by a magnetic read head of a MICR system, a voltage proportional to the amount of flux sensed by the read head is produced. FIG. 2 of U.S. Patent No. 4,797,938 to Will, issued Jan. 10, 1989, shows electrical signals generated as a MICR magnetic read head scans E13B magnetic ink characters. The electrical waveform for each

magnetic ink character is based on the distribution of magnetic ink within each magnetic ink character read area (e.g., the sixty-three block area of each E13B magnetic ink character of FIG. 2 of U.S. Pat. No. 4,797,938). Characteristics of the waveform are compared to waveform characteristics stored in a memory to

- 5 recognize the scanned character.

Known MICR character printing systems do not advantageously store an information signal in the magnetic ink of printed magnetic characters. Information, such as authentication data, authorization data, or other data, cannot be read from the printed ink that is stored in the magnetic ink. Instead, as taught by U.S. Pat. No.

- 10 5,712,564 to Hayosh, the magnetic particles in the magnetic ink are typically exposed to a magnetic field to orientate the dipoles of the magnetic particles of a document so that all magnetic vectors lie in the same direction.

- FIG. 1 is an illustration of a prior art character printed in magnetic ink upon a paper check. Character 100 is printed in magnetic ink and was exposed to a uniform  
15 magnetic field. Each of the magnetic vectors in the magnetic ink of character 100 are aligned in the same direction.

Known systems for printing in magnetic ink do not adequately exploit the possibilities for storing information in magnetic ink.

20 SUMMARY OF THE INVENTION

Embodiments of the present invention provide a method and apparatus for storing an information signal in magnetic ink.

BRIEF DESCRIPTION OF THE DRAWINGS

- 25 FIG. 1 is an illustration of a prior art character printed in magnetic ink upon a paper check.

FIG. 2 shows a magnetic ink encoding pen in accordance with an embodiment of the present invention.

- FIG. 3A illustrates a cross-sectional viewpoint of a magnetic ink encoding  
30 pen in accordance with an embodiment of the present invention.

FIG. 3B shows a cross-sectional viewpoint of a section of an embodiment of a magnetic ink encoding pen.

FIG. 3C shows a top view of the section of the magnetic ink encoding pen illustrated in FIG. 3B.

5        FIG. 3D shows another magnetic ink write head in accordance with an embodiment of the present invention.

FIG. 4 shows a pen stroke of a letter "c" written in magnetic ink.

FIG. 5 illustrates a timing signal generated as a character is written in accordance with an embodiment of the present invention.

10       FIG. 6 illustrates a recorded magnetic signal that is stored in the magnetic ink of a handwritten character in accordance with an embodiment of the present invention.

FIG. 7 shows an embodiment of encoding electronics in accordance with an embodiment of a magnetic ink encoding pen that encodes data into magnetic ink.

15       FIG. 8 shows an embodiment of a pen stroke direction sensor in accordance with an embodiment of the present invention.

FIG. 9 shows a computer system including an embodiment of a magnetic ink encoding pen that receives data from a computer and encodes the data into magnetic ink applied to a surface.

20       FIG. 10 is an illustration of data stored in the magnetic ink of a straight line drawn by a magnetic ink encoding pen on a piece of paper.

FIG. 11 is an illustration of authentication data encoded into a signature written with a magnetic ink encoding pen.

25       FIG. 12 shows another embodiment of a computer system in accordance with an embodiment of the present invention.

#### DETAILED DESCRIPTION

Embodiments of methods and apparatus for storing an information signal in magnetic ink are described. In the following description, for purposes of  
30       explanation, numerous specific details are set forth to provide a thorough understanding of the present invention. It will be obvious, however, to one skilled in

the art that the present invention may be practiced without these specific details. In other instances, well known structures and devices are shown in block diagram form. Furthermore, it is readily apparent to one skilled in the art that the specific sequences in which steps are presented and performed are illustrative and it is contemplated

5 that the sequences can be varied and still remain within the spirit and scope of the present invention.

FIG. 2 shows a magnetic ink encoding pen ("magnetic pen") 200 in accordance with an embodiment of the present invention. Magnetic pen 200 includes a magnetic ink reservoir 201 coupled to a penpoint 202. The term

10 "coupled" means connected directly or indirectly. The penpoint 202 can be a ballpoint, a rollerball, a felt tip, a marker tip, a nib of a fountain pen, etc. The magnetic ink reservoir 201 supplies magnetic ink to penpoint 202, which applies magnetic ink to a surface when a user writes on the surface with the magnetic pen 200. The magnetic ink reservoir 201 may be, for example, an extended tubular

15 shaft, as is conventionally used in ballpoint pens. In another embodiment, the magnetic ink reservoir can be a cartridge, pouch, or other storage element coupled to a shaft or other structural element of a stylus (e.g., a pen).

A magnetic ink write head 203 is coupled to the magnetic ink reservoir 201. Magnetic write head technology is well known in the art. In one embodiment, and

20 as illustrated in FIG. 2, the magnetic ink write head 203 is positioned behind the penpoint 202 and includes a magnetic shield (not separately shown in FIG. 2), a magnetic field director 204, and a magnetic field generator 205. The magnetic shield can be composed of mu-metal and encases the magnetic field director 204 and magnetic field generator 205 to prevent undesired leakage of magnetic flux. In one

25 embodiment, the magnetic shield includes an opening through which the penpoint 202 and a time-varying magnetic field can pass. The magnetic field director 204 is, for example, a tube-shaped magnetically permeable material (e.g., iron, steel, permalloy, a ferromagnetic material, etc.). In the illustrated embodiment, the magnetic ink reservoir 201 passes through the hollow of the tube. The magnetic

30 field director 204 focuses a magnetic field generated by the magnetic field generator 205 in the proximity of the penpoint 202. The magnetic field generator 205 can be

a magnetic coil (e.g., a copper wire coil, a coil of copper-trace printed membrane, etc.) that carries a time-variable electrical signal, to be described below, to generate a time-variable magnetic field.

5 A magnetic ink write head 203 including a magnetic coil surrounding a magnetically permeable core can provide a magnetic field in the proximity of the penpoint 202 that has the same modulation independent of the orientation of the pen about its central axis. As the magnetic ink is deposited onto a surface by the penpoint 202, the magnetic ink is exposed to the time-varying magnetic field generated by the magnetic ink write head 203. The varying magnetic field created  
10 by the magnetic ink write head 203 interacts with the magnetic particles of the deposited magnetic ink to write a magnetic signal into the magnetic ink.

FIG. 3A illustrates a cross-sectional viewpoint of a magnetic ink encoding pen in accordance with an embodiment of the present invention. Magnetic ink reservoir 301 is a tubular shaft. Surrounding the magnetic ink reservoir 301 is a iron  
15 magnetic core 302 that is tubular in shape. A copper wire coil 303 is wrapped around a portion of the iron magnetic core 302 and generates a magnetic field corresponding to an electrical signal carried by the copper wire of copper wire coil 303. A mu-metal magnetic shield 304 encases the magnetic ink write head to prevent undesired leakage of the generated magnetic field.

20 FIG. 3B shows a cross-sectional viewpoint of a section of another embodiment of a magnetic ink encoding pen. A magnetic ink cartridge 306 is surrounded by an iron core element 307 which includes two C-shaped prongs that protrude from a hollow disc. The magnetic ink cartridge runs through the hollow of the disc and between the C-shaped prongs. A wire coil 308 is wrapped around one  
25 of the C-shaped prongs. FIG. 3C shows a top view of the section of the embodiment of the magnetic ink encoding pen illustrated in FIG. 3B.

In another embodiment of the present invention, a magnetic ink write head includes a plurality of magnetic pole faces, such as a north pole face and a south pole face, which can establish a time-varying magnetic field. In another  
30 embodiment, a magnetic ink write head includes three or more magnetic pole faces, and the magnetic polarity of each pole face is varied over time to produce a time-

varying magnetic field. FIG. 3D shows another magnetic ink write head in accordance with an embodiment of the present invention. A magnetic ink write head is positioned behind the nib 313 of a fountain pen and includes an iron pin 311 as an iron core element, around which is wrapped a copper wire 312.

5 Referring again to FIG. 2, lead wires couple the magnetic ink write head 203 and encoding electronics 206. The encoding electronics 206 draw power from an external computer bus via port 208 and generate an electrical signal that is fed to the magnetic ink write head 203. In one embodiment, the electrical signal includes an information signal. The information signal can include pen stroke timing data such  
10 as a cyclical timecode, digital time data, an analog sawtooth signal, etc. In another embodiment, the information signal includes pen stroke direction data indicative of the direction the pen is writing. In one embodiment, the electrical signal includes a standard bias signal, which can be between 20kHz and 100kHz, and a cyclical timing signal that varies from 3kHz to 7kHz. Selection of a particular frequency as  
15 the bias signal and the range of frequencies of the timing signal can be based on the characteristics of the magnetic ink, such as the viscosity of the magnetic ink, the composition of the ink, the type of magnetic particles present in the ink, etc.

The encoding electronics 206 can include a stylus pressure switch coupled to the penpoint 202, e.g., by the shaft of the magnetic ink reservoir 201. The stylus  
20 pressure switch outputs a control signal (e.g., a pulse, a logical 1, etc.) responsive to pressure applied to the penpoint, e.g., when a user starts drawing a character, character segment, line, etc. The control signal initiates the generation of an information signal which is amplified and fed to the magnetic ink write head 203 to generate a time-varying magnetic field corresponding to the information signal. As  
25 the magnetic pen 200 writes a character by applying magnetic ink to a piece of paper, the time-varying magnetic field records in the magnetic ink a signal corresponding to the information signal.

FIG. 4 shows a pen stroke of a letter "c" 410 written in magnetic ink, the pen stroke including points 400-406. The letter "c" 410 is illustrated as drawn in 600  
30 milliseconds ("msecs."), beginning at point 400 at 0 msecs., drawn through points 401-405 (at 100 to 500 msecs.), and ending at point 406 at 600 msecs. In

accordance with an embodiment of the present invention, dynamic pen stroke data can be encoded into handwriting that is written with a magnetic ink encoding pen. Handwriting recognition technologies advantageously allow the conversion of handwriting into computer text files that can be stored, searched and retrieved for archival, data-processing, and other purposes. One known type of handwriting recognition makes use of dynamic pen stroke data to facilitate the interpretation of the lines and curves of handwriting. Examples of such pen stroke data for a character, character segment, and/or cursive word include the start point, stop point, intermediate point(s), direction of writing, etc.

Letter "c" 410 includes a start point 400, intermediate points 401-405, and a stop point 406. Direction of writing data for letter "c" 410 includes data that the pen stroke moved left from the start point 401 to intermediate point 402, then moved down to intermediate point 404, and then moved right to the stop point 406.

Dynamic pen stroke data can be utilized by computing devices and applications, such as Windows®-based computing devices and applications, to generate ASCII (American Standard Code for Information Interchange) text corresponding to the pen stroke data.

An application named Calligrapher®, sold by ParaGraph®, uses pen stroke data to recognize both cursive and block handwriting for Windows®-based, pen-enabled computing devices. See <[http://www.paragraph.com/press\\_releases/penoffice11.html](http://www.paragraph.com/press_releases/penoffice11.html)>, visited June 16, 1999. A user of a pen stroke handwriting recognition application can handwrite with a computer mouse, on a computer graphics tablet, on a touch-screen, with a computer pen and pad device, etc. such that pen stroke data is generated and captured as the user writes. The dynamic pen stroke data, such as pen stroke timing and direction data, can be stored and recognized at a later time.

FIG. 5 illustrates a timing signal generated as a character is written in accordance with an embodiment of the present invention. The frequency of the timing signal can be generated to decrease at the rate of .5 kHz per 100 milliseconds and cause a corresponding magnetic timing signal to be stored in the magnetic ink of the character being written, such as letter "c" 410. When the magnetic pen is put to



paper (e.g., at point 400 of the letter "c" 410) the pressure switch 207 senses the applied pressure and outputs a control signal, which initiates generation of the timing signal of FIG. 5. The timing signal initially has a frequency of 7 kHz at zero msec. As the letter "c" 410 is written in magnetic ink, the timing signal frequency decreases at the rate of .5 kHz per 100 milliseconds. The time-varying timing signal generates a corresponding time-varying magnetic field, which writes a corresponding time-varying magnetic timing signal into the magnetic ink. In another embodiment of the present invention, the timing signal is an analog signal that varies in amplitude rather than frequency.

The period of a cycling timing signal can be selected to span a period of time commensurate with the period of time an average person takes to write the longest of the most common words. In another embodiment, the cycling period can be one and a half times the period of time an average person takes to write the longest of the most common words.

FIG. 6 illustrates a recorded magnetic signal that is stored in the magnetic ink of a handwritten character in accordance with an embodiment of the present invention. At point 400 of letter "c" 410, the recorded magnetic signal has a frequency of 7kHz. The recorded magnetic signal frequency decreases .5 kHz per 100 msec. from point 400 of letter "c" 410 to point 406, such that the recorded magnetic signal has a frequency of 3 kHz at point 406 of letter "c" 410.

FIG. 7 shows an embodiment of encoding electronics in accordance with an embodiment of a magnetic ink encoding pen that encodes data into magnetic ink. Encoding electronics 700 can include a processor 701, a memory 702, a bus 703, a first port 704 adapted to be coupled to an external bus of a computer, and a second port 705 adapted to be coupled to leads coupled to magnetic ink write head 203. The processor 701 in one embodiment is a general purpose microcontroller, such as an SA-1110 microcontroller manufactured by the Intel Corporation of Santa Clara, California. In another embodiment, the processor 701 is an Application Specific Integrated Circuit (ASIC), which has been designed to perform in hardware and firmware at least part of a method in accordance with an embodiment of the present invention. The memory 702 is any device adapted to store digital information, such

as Random Access Memory (RAM), flash memory, Read Only Memory (ROM), a combination thereof, etc. The processor 701 is coupled via the bus 703 to the memory 702 and the ports 704, 705. In one embodiment the external computer bus is a Universal Serial Bus (USB) Specification version 2.0, and the magnetic pen  
5 draws power from the USB. In another embodiment, the magnetic pen is powered by batteries, such as AAA batteries, lithium batteries, alkaline batteries, etc.

In one embodiment, a digital information signal can be generated by encoding electronics and encoded into the magnetic ink of handwritten characters to provide a timing signal in the magnetic ink that indicates the order in which pen  
10 strokes were written. A predetermined sequence of substantially different numbers (e.g., substantially different ASCII byte codes) can be stored in a memory of the encoding electronics 206. The numbers are substantially different so that errors in reading the numbers from the magnetic ink can be more readily determined. For example, errors in reading data from the magnetic ink can be caused by magnetic ink  
15 strokes that are written over by a subsequent stroke, e.g., when an "x" or "t" is written. In another embodiment, an ordinary sequence of numbers (e.g., 1, 2, 3, 4, 5, etc.) is stored. Errors in reading can be minimized by the use of error checking (e.g., a check sum, cyclic redundancy check, etc.). In one embodiment of the present invention, the timing signal includes byte codes written at 7kHz with a standard bias  
20 signal.

FIG. 8 shows an embodiment of a pen stroke direction sensor in accordance with an embodiment of the present invention. A direction sensor 800 can be coupled to the penpoint 202 and generates a signal indicative of the direction in which the penpoint is moving across the paper. The direction sensor 80 can comprise a  
25 plurality of pressure-sensitive sensors 801-808, each of which generates a signal proportional to the amount of pressure applied to that sensor as the magnetic pen is used to write characters. Encoding electronics 206 can receive the signals from the direction sensor 800 and generate a direction signal indicative of the direction in which the magnetic pen is traveling as a character is written. The direction signal  
30 then can be stored in the handwritten magnetic ink in accordance with the present invention. In another embodiment, the direction sensor generates a direction signal

which can be processed by the encoding electronics 206 or amplified and fed to the magnetic ink write head 203.

FIG. 9 shows a computer system including an embodiment of a magnetic ink encoding pen that receives data from a computer and encodes the data into magnetic ink applied to a surface. Magnetic ink encoding pen 901 receives data from computer 902 via an external bus 903 (e.g., a USB, a FireWire (IEEE 1394) bus, etc.) and encodes that data into magnetic ink as it is applied to surface 904 (e.g., of a piece of paper, a check, a transparency, a label, a sheet of plastic, etc.). As is known in the art, computer 902 includes a memory and a processor. The processor 701 in one embodiment is a general purpose microprocessor, such as a Pentium® III microprocessor manufactured by Intel Corporation. In another embodiment, the processor is an ASIC processor.

Examples of the types of data that can be encoded into the magnetic ink include security data (e.g., identification data, authentication data, a password, encrypted data, etc.), text data, numeric data, etc. In one embodiment, and as illustrated in FIG. 10, a user stores data in the magnetic ink of a straight line drawn by a magnetic pen on a piece of paper. The data can be received by the magnetic pen from the computer 902 via external bus 903. In another embodiment, user authentication data can be encoded into the magnetic ink of a user's handwriting, signature, drawn data line, etc. As illustrated in FIG. 11, authentication data can be encoded into a signature written with a magnetic ink encoding pen. In one embodiment, the magnetic ink encoding pen is powered by batteries and encoding electronics 700 store the authentication data of a particular user.

In another embodiment, the magnetic pen is powered by batteries, and data is downloaded into the pen via a wireless port (e.g., an infrared port, an RF (radio frequency) port, etc.) from a computer, a wireless network, a Personal Intelligent Device (PID) (e.g., a PalmPilot®, a Windows CE®-based handheld computer, etc.), etc. The magnetic pen can then be used to record the received data into written magnetic ink.

FIG. 12 shows another embodiment of a computer system in accordance with an embodiment of the present invention. A computer system can include a computer

1203 coupled to a magnetic pen 1201 via external bus connection 1211 (e.g., a USB connection). Also coupled to computer 1203 is a graphics table input device 1202 via external bus connection 1212. The computer 1203 includes and executes a dynamic handwriting recognition application (e.g., a Calligrapher® application). A user can place a piece of paper 1204 on the graphics table 1202 and write with the magnetic pen 1201 on the paper 1204. As the user writes, the graphics table 1202 senses the pen strokes and transmits dynamic pen stroke data to the dynamic handwriting recognition application running on the computer 1203. The dynamic handwriting recognition engine converts the pen stroke data into text data, and text data is transmitted to the magnetic pen 1201. The magnetic pen 1201 then stores the text data into the magnetic ink written onto the paper 1204.

Known magnetic scanners can determine the magnetic flux density of materials and can analyze manufacturing processes to determine magnetic flux strengths, leakage, etc. A magnetic scanner can perform a two-dimensional scan of a sheet of paper, which includes magnetic ink encoded with an information signal in accordance with an embodiment of the present invention, and determine the magnetic flux distribution across the sheet of paper. The information signal encoded in the magnetic ink can then be read, or disaggregated, from the magnetic flux data.

The present invention advantageously allows a magnetic ink encoding pen to store information in magnetic ink applied to a surface. A magnetic ink encoding pen can be used to encode data into the magnetic ink of written lines, handwritten characters, signatures, etc. Magnetic ink applied to a surface in accordance with embodiments of the present invention can store information signals, security data, authentication information, and other types of data.

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## CLAIMS

What is claimed is:

- 1            1.        An apparatus for storage of information, comprising:  
2                                magnetic ink having a stored information signal.
- 1            2.        The magnetic ink of claim 1, wherein the stored information signal  
2 includes an analog information signal.
- 1            3.        The magnetic ink of claim 1, wherein the stored information signal  
2 includes a digital information signal.
- 1            4.        The magnetic ink of claim 1, wherein the stored information signal  
2 includes a time-varying frequency signal.
- 1            5.        A magnetic information storage structure, comprising:  
2                                a surface; and  
3                                magnetic ink applied to the surface, said magnetic ink  
4 magnetized such as to contain an encoded information signal.
- 1            6.        A magnetic ink encoding stylus, comprising:  
2                                a penpoint adapted to apply magnetic ink to a surface; and  
3                                a magnetic ink write head, coupled to the penpoint and  
4 adapted to apply a varying magnetic flux to the magnetic ink as it is  
5 applied by the penpoint to the surface.
- 1            7.        The apparatus of claim 6, wherein the magnetic ink write head  
2 includes:  
3                                a magnetic field generator, and  
4                                a magnetic shield.

1           8.       The apparatus of claim 7, wherein the magnetic field generator  
2 includes a magnetic coil.

1           9.       The apparatus of claim 8, wherein the magnetic coil is a wire coil.

1           10.      The apparatus of claim 7, further comprising a magnetic field  
2 director.

1           11.      The apparatus of claim 10, wherein the magnetic field director  
2 includes an iron core element.

1           12.      The apparatus of claim 6, wherein the magnetic ink write head  
2 includes a plurality of magnetic pole faces.

1           13.      The apparatus of claim 6, further comprising a signal generator  
2 coupled to the magnetic ink write head.

1           14.      The apparatus of claim 13, wherein the signal generator includes a  
2 analog timing signal generator.

1           15.      The apparatus of claim 13, wherein the signal generator includes a  
2 digital signal generator.

1           16.      The apparatus of claim 13, further comprising a pressure sensor  
2 coupled to the signal generator.

1           17.      The apparatus of claim 6, further comprising encoding electronics  
2 coupled to the magnetic ink write head.

1           18.      The apparatus of claim 17, further comprising a direction sensor  
2 coupled to the encoding electronics.

1           19.     The apparatus of claim 6, further comprising a port adapted to be  
2 coupled to an external computer bus, said port coupled to the magnetic ink write  
3 head.

1           20.     A computer system, comprising:  
2                   a computer, including  
3                         a processor;  
4                         a memory coupled to the processor; and  
5                         an external bus coupled to the processor; and  
6                   a magnetic ink encoding stylus, including  
7                         a penpoint adapted to apply magnetic ink to a  
8                         surface;  
9                         a magnetic ink write head coupled to the  
10                        penpoint and adapted to apply a varying magnetic flux  
11                        to the magnetic ink as it is applied by the penpoint to  
12                        the surface; and  
13                        a port coupled to the magnetic ink write head  
14                        and to the external bus.

1           21.     The computer system of claim 20, wherein the magnetic ink encoding  
2 stylus includes a signal generator.

1           22.     The computer system of claim 20, wherein the magnetic ink encoding  
2 stylus includes encoding electronics.

1           23.     The computer system of claim 20, wherein the computer includes:  
2                   a graphics tablet coupled to the processor; and  
3                   a handwriting recognition application coupled to the  
4 processor.

1           24.     A method of storing information, comprising:  
2                     applying magnetic ink on a surface; and  
3                     applying a varying magnetic flux to the magnetic ink.

1           25.     The method of claim 24, wherein the information is digital  
2     information signal.

1           26.     The method of claim 24, wherein the information is security data.

1           27.     The method of claim 24, wherein applying a varying magnetic flux to  
2     the applied magnetic ink includes:  
3                     generating a varying magnetic field corresponding to an  
4                     information signal, the varying magnetic field intersecting the applied  
5                     magnetic ink.

1           28.     The method of claim 27, further comprising:  
2                     responsive to sensing stylus pressure, generating the  
3                     information signal.

1           29.     The method of claim 27, wherein the information signal is a timing  
2     signal.

1           30.     The method of claim 27, wherein the information signal is received  
2     from a computer.



## ABSTRACT

In one embodiment, an information signal is stored in magnetic ink. In another embodiment, a magnetic ink encoding stylus includes a magnetic ink write head. In a further embodiment, a computer system includes a magnetic ink encoding stylus coupled to a computer via an external bus.

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935  
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945  
950  
955  
960  
965  
970  
975  
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**FIG. 1**

**PRIOR ART**

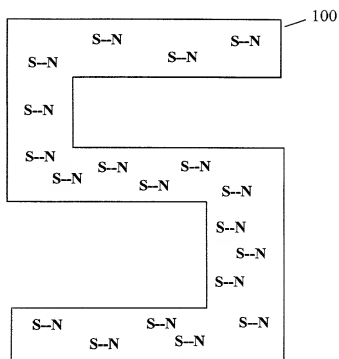
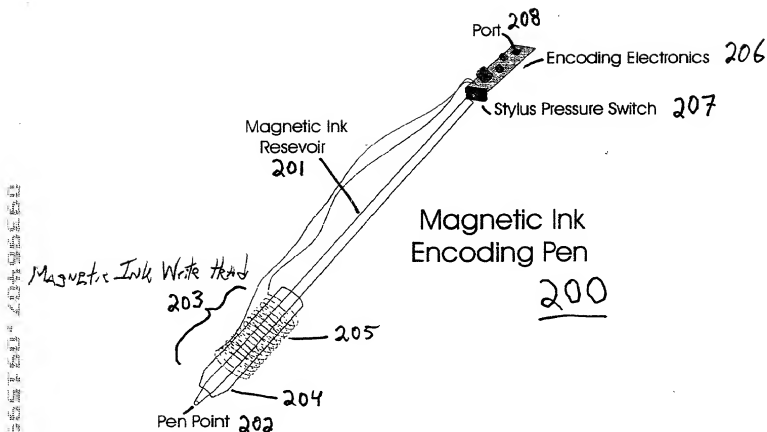
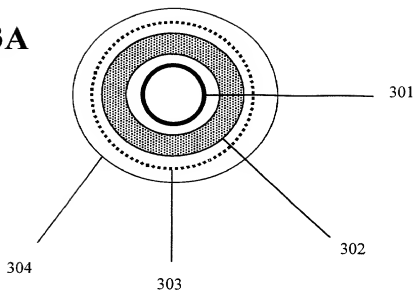


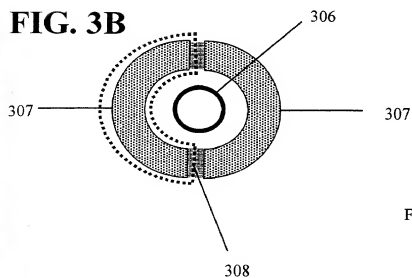
FIG. 2



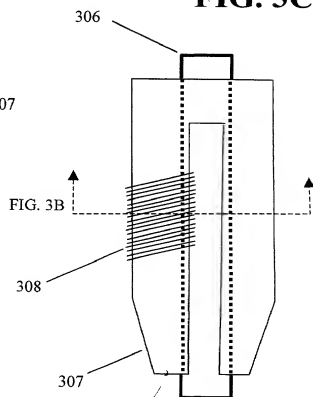
**FIG. 3A**



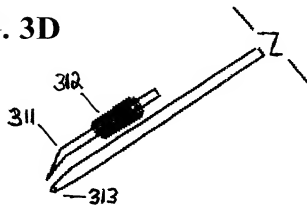
**FIG. 3B**



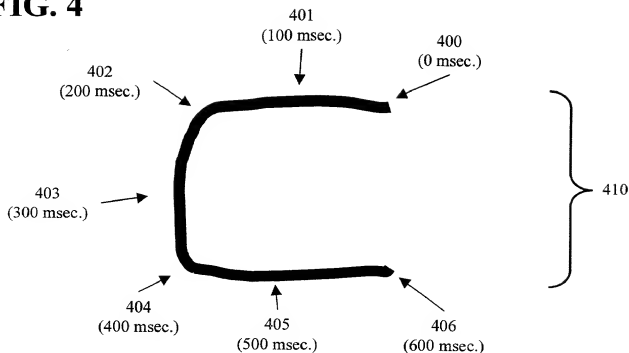
**FIG. 3C**



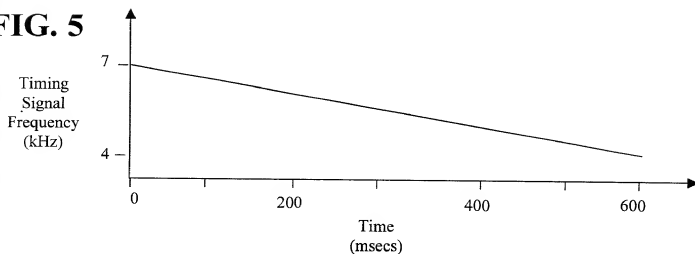
**FIG. 3D**



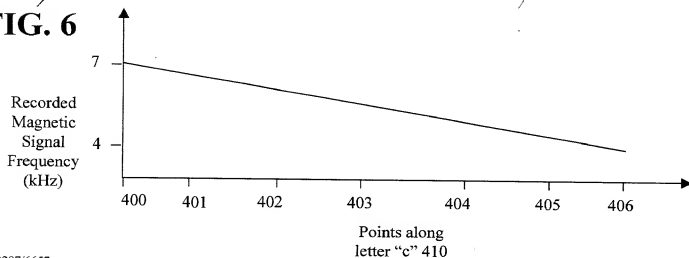
**FIG. 4**



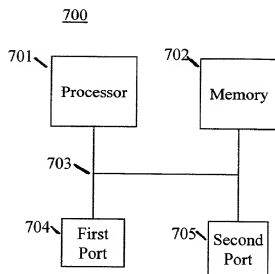
**FIG. 5**



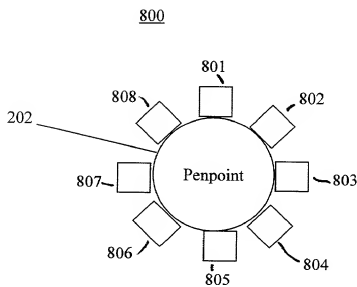
**FIG. 6**



**FIG. 7**



**FIG. 8**



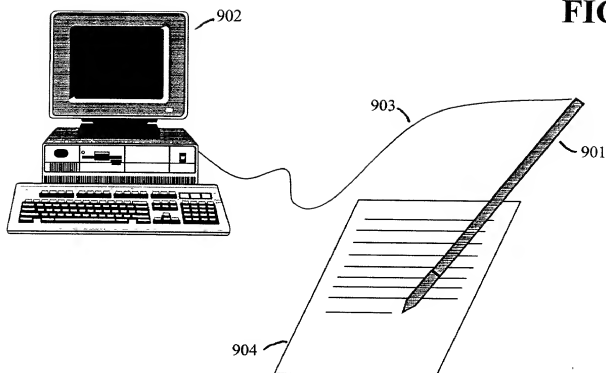


FIG. 10

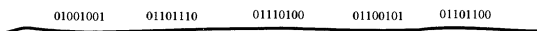
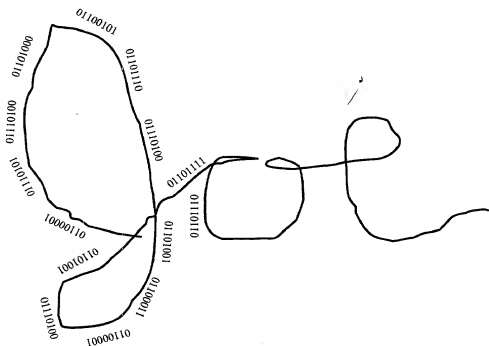
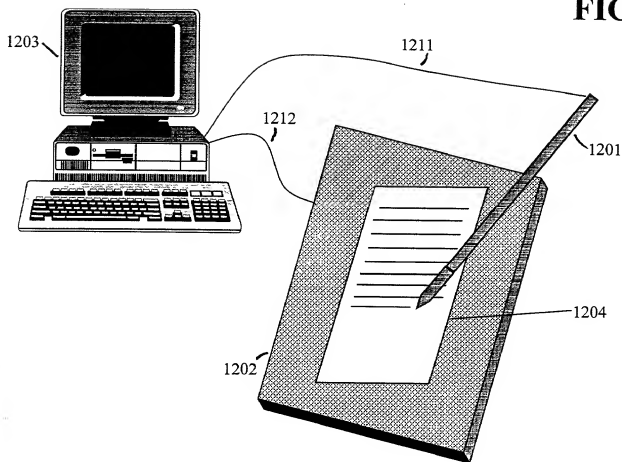


FIG. 11



**FIG. 12**





**DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that

My residence, post office address, and citizenship are as stated below next to my name,

I believe I am the original, first, and sole inventor of the subject matter that is claimed and for which a patent is sought on the invention entitled

**MAGNETIC INK ENCODING PEN**

the specification of which

  X   is attached hereto.

       was filed on                     , and assigned Serial No.                     .

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claim(s). I do not know and do not believe that the claimed invention was ever known or used in the United States of America before my invention thereof, or patented or described in any printed publication in any country before my invention thereof or more than one year prior to this application, that the same was not in public use or on sale in the United States of America more than one year prior to this application, and that the invention has not been patented or made the subject of an inventor's certificate issued before the date of this application in any country foreign to the United States of America on an application filed by me or my legal representatives or assigns more than twelve months (for a utility patent application) or six months (for a design patent application) prior to this application.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a), a copy of which is attached.

**PRIOR FOREIGN APPLICATION(S)**

I hereby claim foreign priority benefits under Title 35, United States Code, § 119(a)-(d), of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

| APPLICATION NUMBER | COUNTRY | FILING DATE<br>(day, month, year) | PRIORITY CLAIMED |    |
|--------------------|---------|-----------------------------------|------------------|----|
|                    |         |                                   | Yes              | No |
| None               |         |                                   |                  |    |

**PRIOR UNITED STATES APPLICATION(S)**

I hereby claim the benefit under Title 35, United States Code, §§ 119-120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application.

| APPLICATION NUMBER | FILING DATE<br>(day, month, year) | STATUS<br>(i.e. Patented, Pending, Abandoned) |
|--------------------|-----------------------------------|---|
|                    |                                   |   |
| None               |                                   |   |

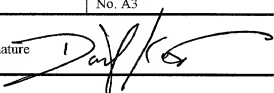
**POWER OF ATTORNEY: I hereby appoint:**

Paul H. Holler (Reg. No. 21,074); John C. Altmiller (Reg. No. 25,951); Felix L. D'Arienzo, Jr. (Reg. No. 27,631); Shawn W. O'Dowd (Reg. No. 34,687); and James M. Ross (Reg. No. 42,115) of KENYON & KENYON with offices located at 1500 K Street, N.W., Washington, D.C. 20005, telephone (202) 220-4200, and James E. Jacobson, Jr. (Reg. No. 31,626); Thomas C. Reynolds (Reg. No. 32,488); Raymond J. Werner (Reg. No. 34,752); Richard C. Calderwood (Reg. No. 35,468); Joseph R. Bond (Reg. No. 36,458); Naomi Obinata (Reg. No. 39,320) of INTEL CORPORATION my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

SEND CORRESPONDENCE, AND DIRECT TELEPHONE CALLS TO:

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KENYON & KENYON  
1500 K Street, N.W.  
Washington, D.C. 20005  
(202) 220-4200 (phone)  
(202) 220-4201 (facsimile)

I hereby declare that all statements made herein of my own knowledge are true and all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful statements may jeopardize the validity of the application or any patent issuing thereon.

|  |  |                                    |  |
|--|--|------------------------------------|--|
| FULL NAME OF INVENTOR  | FAMILY NAME<br>Koizumi   | FIRST GIVEN NAME<br>David          | SECOND GIVEN NAME<br>H.                  |
| RESIDENCE & CITIZENSHIP  | CITY<br>Portland   | STATE OR FOREIGN COUNTRY<br>Oregon | COUNTRY OF CITIZENSHIP<br>USA            |
| POST OFFICE ADDRESS  | POST OFFICE ADDRESS<br>17618 Northwest Springville Road,<br>No. A3 | CITY<br>Portland                   | STATE & ZIP CODE/COUNTRY<br>Oregon 97229 |
| Signature<br> | Date<br>9-10-'99   |                                    |  |

Title 37, Code of Federal Regulations, Section 1.56  
Duty to Disclose Information Material to Patentability

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is cancelled or withdrawn from consideration, or the application becomes abandoned. Information material to the patentability of a claim that is cancelled or withdrawn from consideration need not be submitted if the information is not material to the patentability of any claim remaining under consideration in the application. There is no duty to submit information which is not material to the patentability is deemed to be satisfied if all information known to be material to patentability of any claim issued in a patent was cited by the Office or submitted to the Office in the manner prescribed by §§ 1.97(b)-(d) and 1.98. However, no patent will be granted on an application in connection with which fraud on the Office was practiced or attempted or the duty of disclosure was violated through bad faith or intentional misconduct. The Office encourages applicants to carefully examine:

- (1) Prior art cited in search reports of a foreign patent office in a counterpart application, and
  - (2) The closest information over which individuals associated with the filing or prosecution of a patent application believe any pending claim patentably defines, to make sure that any material information contained therein disclosed to the Office.
- (b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made or record in the application, and
- (1) It establishes, by itself or in combination with other information, a prima facie case of unpatentability of a claim; or
  - (2) It refutes, or is inconsistent with, a position the applicant takes in:
    - (i) Opposing an argument of unpatentability relied on by the Office, or
    - (ii) Asserting an argument of patentability.

A prima facie case of unpatentability is established when the information compels a conclusion that a claim is unpatentable under the preponderance of evidence, burden-of-proof standard, giving each term in the claim its broadest reasonable construction consistent with the specification, and before any consideration is given to evidence which may be submitted in an attempt to establish a contrary conclusion of patentability.

- (c) Individuals associated with the filing or prosecution of a patent application within the meaning of this section are:
- (1) Each inventor named in the application;
  - (2) Each attorney or agent who prepares or prosecutes the application; and
  - (3) Every other person who is substantively involved in the preparation or prosecution of the application and who is associated with the inventor, with the assignee or with anyone to whom there is an obligation to assign the application.
- (d) Individuals other than the attorney, agent or inventor may comply with this section by disclosing information to the attorney, agent, or inventor.